

**State of California  
Disaster Recovery-Multifamily Housing Program  
Project Application for the Development of Affordable Rental Housing**

**LEGAL STATUS QUESTIONNAIRE**

For purposes of the following questions, and with the exceptions noted below, the term “Applicant” shall include the project applicant/owner/developer/partnership, the parent of the said, and any subsidiary of said if the subsidiary is involved in (for example, as a guarantor) or will be benefited by the application or the Project.

In addition to each of these entities themselves, the term “Applicant” shall also include the direct and indirect holders of more than ten percent (10%) of the ownership interests in the entity, as well as the officers, directors, principals and senior executives of the entity if the entity is a corporation, the general and limited partners of the entity if the entity is a partnership, and the members or managers of the entity if the entity is a limited liability company. For projects using tax-exempt bonds, it shall also include the individual who will be executing the bond purchase agreement.

The following questions must be responded to for each entity and person qualifying as an "Applicant," as defined above. Explain all positive responses on a separate sheet and include with this questionnaire in the application.

**Exception**

- Public entity applicants without an ownership interest in the proposed Project, including but not limited to cities, counties, and joint powers authorities with 100 or more members, are not required to respond to this questionnaire.
- Members of the boards of directors of non-profit corporations, including officers of the boards, are also not required to respond. However, chief executive officers (Executive Directors, Chief Executive Officers, Presidents or their equivalent) must respond, as must chief financial officers (Treasurers, Chief Financial Officers, or their equivalent).

<b>Printed Name of Project Applicant</b>

<b>Civil Matters</b>	<b>Yes</b>	<b>No</b>
Has the Applicant filed a bankruptcy or receivership case or had a bankruptcy or receivership action commenced against it, defaulted on a loan, or been foreclosed against in the past ten years? If so, please explain.	<input type="checkbox"/>	<input type="checkbox"/>
Is the Applicant currently a party to, or been notified that it may become a party to, any civil litigation that may materially and adversely affect (a) the financial condition of the applicant's business, or (b) the Project that is the subject of the application? If yes, please explain.	<input type="checkbox"/>	<input type="checkbox"/>
Have there been any administrative or civil settlements, decisions, or judgments against the Applicant within the past ten years that materially and adversely affected (a) the financial condition of the applicant's business, or (b) the Project that is the subject of the application? If yes, please explain and state the amount.	<input type="checkbox"/>	<input type="checkbox"/>
Is the Applicant currently subject to, or been notified that it may become subject to, any civil or administrative proceeding, examination, or investigation by a local, state or federal licensing or accreditation agency, a local, state or federal taxing authority, or a local, state or federal regulatory or enforcement agency? If yes, please explain.	<input type="checkbox"/>	<input type="checkbox"/>
In the past ten years, has the Applicant been subject to any civil or administrative proceeding, examination, or investigation by a local, state or federal licensing or accreditation agency, a local, state or federal taxing authority, or a local, state or federal regulatory or enforcement agency that resulted in a settlement, decision, or judgment? If yes, please explain.	<input type="checkbox"/>	<input type="checkbox"/>

<b>Criminal Matters</b>	<b>Yes</b>	<b>No</b>
Is the Applicant currently a party to, or the subject of, or been notified that it may become a party to or the subject of, any criminal litigation, proceeding, charge, complaint, examination or investigation, of any kind, involving, or that could result in, felony charges against the applicant? If yes, please explain.	<input type="checkbox"/>	<input type="checkbox"/>
Is the Applicant currently a party to, or the subject of, or been notified that it may become a party to or the subject of, any criminal litigation, proceeding, charge, complaint, examination or investigation, of any kind, involving, or that could result in, misdemeanor charges against the applicant for matters relating to the conduct of the applicant's business? If yes, please explain.	<input type="checkbox"/>	<input type="checkbox"/>

Is the Applicant currently a party to or the subject of, or been notified that it may become a party to or the subject of, any criminal litigation, proceeding, charge, examination, investigation or complaint, of any kind, involving, or that could result in, criminal charges (whether misdemeanor or felony) against the applicant for any financial or fraud related crime? If yes, please explain.	<input type="checkbox"/>	<input type="checkbox"/>
Is the Applicant currently a party to, or the subject of, or been notified that it may become a party to or the subject of, any criminal litigation, proceeding, charge, complaint, examination or investigation, of any kind, that could materially affect the financial condition of the applicant's business? If yes, please explain	<input type="checkbox"/>	<input type="checkbox"/>
Within the past ten years, has the Applicant been convicted of any felony? If yes, please explain	<input type="checkbox"/>	<input type="checkbox"/>
Within the past ten years, has the Applicant been convicted of any misdemeanor related to the conduct of the applicant's business? If yes, please explain.	<input type="checkbox"/>	<input type="checkbox"/>
Within the past ten years, has the Applicant been convicted of any misdemeanor for any financial or fraud related crime? If yes, please explain.	<input type="checkbox"/>	<input type="checkbox"/>

Signature:	
Name:	
Title:	
Entity Represented:	
Project Represented:	
Date:	